

# Singular modular forms on quaternionic $E_8$

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January 2021

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**This talk is about:** The construction of two very nice automorphic forms on quaternionic  $E_8$

- $E_{8,4}$ : real reductive group of type  $E_8$  with split rank four; this is quaternionic  $E_8$
- The symmetric space  $E_{8,4}/K$  does not have Hermitian structure, but still possesses automorphic forms that behave **similarly** to classical holomorphic modular forms
- **Similarly:** They have a 'robust' Fourier expansion; called 'modular' forms
- There are two modular forms on  $E_{8,4}$  that can write down explicitly
- **Theorem:** These modular forms have all Fourier coefficients in  $\mathbf{Q}$
- Time permitting: An application to a very interesting automorphic form on  $E_{6,4}$

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## The symplectic group

- $\mathrm{Sp}_{2n} = \{g \in \mathrm{GL}(2n) : {}^t g \begin{pmatrix} & 1_n \\ -1_n & \end{pmatrix} g = \begin{pmatrix} & 1_n \\ -1_n & \end{pmatrix}\}$
- $\mathrm{Sp}_{2n} \supseteq U(n) \simeq \left\{ \begin{pmatrix} a & b \\ -b & a \end{pmatrix} : a + ib \in U(n) \right\}$

## The symmetric space

- $S_n := n \times n$  symmetric matrices
- $\mathcal{H}_n = \{Z = X + iY : X, Y \in S_n(\mathbf{R}), Y > 0\}$  the Siegel upper half-space
- $\mathcal{H}_n \simeq \mathrm{Sp}_{2n}(\mathbf{R})/U(n)$  the symmetric space

$\mathrm{Sp}_{2n}(\mathbf{R})$  acts on  $\mathrm{Sp}_{2n}(\mathbf{R})/U(n) = \mathcal{H}_n$  via

$$g \cdot Z = (aZ + b)(cZ + d)^{-1}$$

if  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $n \times n$  block form.

Siegel modular form of weight  $\ell > 0$ :

## Definition and basic properties

- $f : \mathcal{H}_n \rightarrow \mathbf{C}$  **holomorphic** such that
- $f((aZ + b)(cZ + d)^{-1}) = \det(cZ + d)^\ell f(Z)$  for all  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$  some congruence subgroup of  $\mathrm{Sp}_{2n}(\mathbf{Z})$
- **Fourier expansion**:

$$f(Z) = \sum_{T \in S_n(\mathbf{Q}), T \geq 0} a_f(T) e^{2\pi i \mathrm{tr}(TZ)}$$

with  $a_f(T) \in \mathbf{C}$  and  $T \geq 0$  means “ $T$  is positive semi-definite”.

- If  $n = 1$ , these are classical modular forms for  $\mathrm{SL}_2$

If  $f$  a Siegel modular form, can consider  $f \in H^0(\Gamma \backslash \mathcal{H}_n, \mathcal{L}^\ell)$

- a global section of a holomorphic line bundle  $\mathcal{L}^\ell$  on  $\Gamma \backslash \mathcal{H}_n$

$$\varphi : \mathrm{Sp}_{2n}(\mathbf{Q}) \backslash \mathrm{Sp}_{2n}(\mathbf{A}) \rightarrow \mathbf{C} \text{ with}$$

## The definition

- 1  $\varphi(gk) = z(k)^{-\ell} \varphi(g)$  for all  $k \in U(n)$ ,  $z : U(n) \xrightarrow{\det} U(1) \subseteq \mathbf{C}^\times$
- 2  $\mathcal{D}_{CR,\ell} \varphi \equiv 0$ :  $\varphi$  annihilated by linear differential operator  $\mathcal{D}_{CR,\ell}$  so that  $f_\varphi$  on  $\mathcal{H}_n$  satisfies the Cauchy-Riemann equations

## The Fourier expansion

$$\begin{aligned} \varphi_f \left( \begin{pmatrix} 1 & X \\ & 1 \end{pmatrix} \begin{pmatrix} Y^{1/2} & \\ & Y^{-1/2} \end{pmatrix} m \right) &= \varphi_f(n(X)m) \\ &= \sum_{T \in S_n(\mathbf{Q}), T \geq 0} a_\varphi(T) e^{2\pi i \mathrm{tr}(TX)} e^{-2\pi \mathrm{tr}(TY)} \end{aligned}$$

where  $iY = m \cdot i$  in  $\mathcal{H}_n$  and  $a_\varphi(T) \in \mathbf{C}$ .

## Automorphically

- $\pi = \otimes_v \pi_v$  with  $\pi_\infty$  a holomorphic discrete series representation

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## A very nice exceptional group

$E_{7,3}$ : has a symmetric space with Hermitian tube structure

- $\Theta$ : octonions with positive-definite norm form. This is an 8-dimensional, non-associative  $\mathbf{R}$ -algebra that comes equipped with a quadratic form  $\Theta \rightarrow \mathbf{R}$  and an  $\mathbf{R}$ -linear conjugation  $*$  :  $\Theta \rightarrow \Theta$ .
- $J = H_3(\Theta)$ : Hermitian  $3 \times 3$  matrices with elements in  $\Theta$ .

$$J = \left\{ \begin{pmatrix} c_1 & x_3 & x_2^* \\ x_3^* & c_2 & x_1 \\ x_2 & x_1^* & c_3 \end{pmatrix} : c_i \in \mathbf{R}, x_j \in \Theta \right\}.$$

$E_{7,3}$  acts on

$$\mathcal{H}_J = \{Z = X + iY : X, Y \in J, Y > 0\}$$

by “fractional linear” transformations.

# Holomorphic modular forms on $E_{7,3}$

For an integer  $\ell > 0$ ,  $f : \mathcal{H}_J \rightarrow \mathbf{C}$  is a holomorphic modular form of weight  $\ell$  if

- $f$  is holomorphic, moderate growth
- $f(\gamma Z) = j(\gamma, Z)^\ell f(Z)$  for all  $\gamma \in \Gamma \subseteq E_{7,3}$  a congruence subgroup

These holomorphic modular forms on  $E_{7,3}$  have a Fourier expansion:

$$f(Z) = \sum_{T \in J_{\mathbf{Q}}, T \geq 0} a_f(T) e^{2\pi i \operatorname{tr}(TZ)}$$

with the  $a_f(T) \in \mathbf{C}$ .

## Rank

Note that  $J \supseteq S_3$  the symmetric  $3 \times 3$  matrices. There is a function  $\text{rank} : J \rightarrow \{0, 1, 2, 3\}$  extending the rank of symmetric matrices on  $S_3$ .

## Theorem 1 (H. Kim)

There exists holomorphic modular forms  $\Theta_{\text{Kim},4}$  and  $\Theta_{\text{Kim},8}$  for  $E_{7,3}$  with the following properties:

- 1  $\Theta_{\text{Kim},4}$  is a weight 4, level 1 modular form with Fourier coefficients in  $\mathbf{Z}$ . Moreover, the Fourier coefficients  $a_{\Theta_{\text{Kim},4}}(T)$  are 0 unless  $\text{rank}(T) \in \{0, 1\}$ .
- 2  $\Theta_{\text{Kim},8}$  is a weight 8, level 1 modular form with Fourier coefficients in  $\mathbf{Z}$ . Moreover, the Fourier coefficients  $a_{\Theta_{\text{Kim},8}}(T)$  are 0 unless  $\text{rank}(T) \in \{0, 1, 2\}$ .

The modular forms  $\Theta_{\text{Kim},4}, \Theta_{\text{Kim},8}$  are said to be **singular**.

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# Exceptional groups have 'modular forms'

## The groups

$$G : G_2 \subseteq D_4 \subseteq F_4 \subseteq E_{6,4} \subseteq E_{7,4} \subseteq E_{8,4}$$

- $K \subseteq G$  the maximal compact.  $K \rightarrow \mathrm{SU}(2)/\mu_2$ .
- $G/K$ : no Hermitian structure

## Definition of modular forms on $G$

Let  $\ell \geq 1$  be an integer. A modular form on  $G$  of weight  $\ell$  is

- an automorphic form  $\varphi : \Gamma \backslash G \rightarrow \mathrm{Sym}^{2\ell}(\mathbf{C}^2)$
- satisfying  $\varphi(gk) = k^{-1} \cdot \varphi(g)$  for all  $g \in G, k \in K$
- and  $\mathcal{D}_\ell \varphi = 0$  for a certain special linear differential operator  $\mathcal{D}_\ell$

- Definition due to Gross-Wallach, Gan-Gross-Savin

# These modular forms have nice properties

## Theorem 2

*The modular forms of weight  $\ell \geq 1$  on  $G$  have a robust Fourier expansion, normalized over the integers, that is compatible with pullbacks between groups  $G$  above.*

The theorem means:

- Given a modular  $\varphi$  form of weight  $\ell$ , one can ask the question “Are all of  $\varphi$ 's Fourier coefficients in some ring  $R \subseteq \mathbf{C}$ ?”
- If  $\iota : G_1 \subseteq G_2$  in the above sequence of groups, and if  $\varphi$  is modular form on  $G_2$  of weight  $\ell$ , then the pullback  $\iota^*(\varphi)$  on  $G_1$  is a modular form of weight  $\ell$ .
- Moreover, the Fourier coefficients of  $\iota^*\varphi$  are **finite sums** of the Fourier coefficients of  $\varphi$

# More precise Fourier expansion

Have

$$G \supseteq P = MN \supseteq [N, N] := Z$$

the Heisenberg parabolic. Set

$$\varphi_Z(g) = \int_{Z(\mathbf{Q}) \backslash Z(\mathbf{A})} \varphi(zg) dz.$$

Can Fourier expand  $\varphi_Z$  along  $N/[N, N]$ , and via Theorem 2 this Fourier expansion is

## Fourier expansion

$$\varphi_Z(nm_f m_\infty) = \sum_{\chi \in (N/Z)^\vee} \chi(n) c_{\chi, \varphi}(m_f) \mathcal{W}_\chi(m_\infty)$$

for certain completely explicit functions  $\mathcal{W}_\chi : M(\mathbf{R}) \rightarrow \text{Sym}^{2\ell}(\mathbf{C}^2)$ .

- The point is that the functions  $\mathcal{W}_\chi$  are independent of  $\varphi$
- The functions  $\mathcal{W}_\chi$  are 0 unless  $\chi$  satisfies a certain positivity condition

## Fourier coefficients

If  $R \subseteq \mathbf{C}$  is a subring, one says that  $\varphi$  has Fourier coefficients in  $R$  if all the functions  $c_{\chi, \varphi} : M(\mathbf{A}_f) \rightarrow \mathbf{C}$  are in fact valued in  $R$ .

- If  $\chi$  is non-degenerate in a certain sense, these Fourier coefficients were defined by Gan-Gross-Savin, using a multiplicity one result of Wallach.

## Motivating question

Fix  $G$  and  $\ell \geq 1$ . Does there exist a basis of the modular forms on  $G$  of weight  $\ell$ , all of whose Fourier coefficients are in  $\overline{\mathbf{Q}}$ ?



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Let  $P = MN \subseteq E_{8,4}$  be the Heisenberg parabolic subgroup,  
 $M = GE_{7,3}$ .

### Theorem 3 (Gan,P,Savin)

*There exists square integrable automorphic forms  $\Theta_{min}$  and  $\Theta_{ntm}$  on  $E_{8,4}$  with the following properties.*

- 1  $\Theta_{min}$  is a weight 4 modular form with all Fourier coefficients in  $\mathbf{Z}$ . Its constant term along  $N$ ,  $\Theta_{min,N}$  is essentially  $\Theta_{Kim,4}$ .
- 2  $\Theta_{ntm}$  is a weight 8 modular form with all Fourier coefficients in  $\mathbf{Q}$ . Its constant term along  $N$ ,  $\Theta_{ntm,N}$  is essentially  $\Theta_{Kim,8}$ .

*These modular forms are **singular** in the sense that many of their Fourier coefficients are 0.*

The Fourier coefficients are parametrized by elements in a lattice in  $W = (N/[N, N])^\vee$ . There is a function  $\text{rank} : W \rightarrow \{0, 1, 2, 3, 4\}$ .

- The Fourier coefficients  $a_{\Theta_{min}}(w)$  of  $\Theta_{min}$  are 0 unless  $\text{rank}(w) \in \{0, 1\}$
- The Fourier coefficients  $a_{\Theta_{ntm}}(w)$  of  $\Theta_{ntm}$  are 0 unless  $\text{rank}(w) \in \{0, 1, 2\}$

- 1 Gross-Wallach constructed unitary representations  $\pi_4$  and  $\pi_8$  of the real group  $E_{8,4}$  that are small in the sense of GK dimension. The automorphic forms  $\Theta_{min}$ ,  $\Theta_{ntm}$  should be<sup>1</sup> thought of as globalizations of these representations.
- 2 On **split**  $E_8$  there are analogues of  $\Theta_{min}$  and  $\Theta_{ntm}$ . These are completely spherical automorphic forms
  - constructed by Ginzburg-Rallis-Soudry, in the case of the minimal;
  - constructed by Green-Miller-Vanhove, Ciubotaru-Trapa in the case of next-to-minimal;
  - next-to-minimal recently studied by Gourevitch-Gustafsson-Kleinschmidt-Persson-Sahi.
- 3 Gan constructed  $\Theta_{min}$  as a special value of an Eisenstein series associated to  $Ind_P^{E_{8,4}}(\delta_P^{Smin})$ , proved it's square integrable.

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<sup>1</sup>Proved by Gan-Savin for  $\Theta_{min}$  and  $\pi_4$ . Should be true but not proved for  $\Theta_{ntm}$  and  $\pi_8$ .

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# Heisenberg Eisenstein series

Suppose  $G = E_{8,4}$ ,  $P$  Heisenberg parabolic.

$$\nu : P \rightarrow \mathrm{GL}_1$$

generating the character group of  $P$ . On  $G = E_{8,4}$ ,

$$|\nu(p)|^{29} = \delta_P(p)$$

for  $p \in P$ . Suppose

- $\ell \geq 1$  even
- $f(g, \ell; s) \in \mathrm{Ind}_{P(\mathbf{A})}^{G(\mathbf{A})}(|\nu|^s)$ , certain  $\mathrm{Sym}^{2\ell}(V_2)$ -valued section.
- $E(g, \ell; s) = \sum_{\gamma \in P(\mathbf{Q}) \backslash G(\mathbf{Q})} f(\gamma g, \ell; s)$  absolutely convergent for  $\mathrm{Re}(s) > 29$ .
- If  $s = \ell + 1$  in range of absolute convergence,  $E(g, s = \ell + 1)$  a **modular form of weight  $\ell$**  for  $G$

## Question

Does  $E(g, s = \ell + 1)$  have rational Fourier coefficients?

# Next to minimal

Motivated by work of Gross-Wallach on continuation of quaternionic discrete series, take  $\ell = 8$  and  $G = E_{8,4}$ .

## Proposition

The Eisenstein series  $E(g, \ell = 8; s)$  is regular at  $s = 9$  (even though outside the range of absolute convergence), and defines square integrable weight 8 modular form at this point.

Set

$$\theta_{ntm}(g) = E(g, \ell = 8; s = 9)$$

## Theorem 4 (Savin)

*The spherical constituent of the degenerate principal series  $\text{Ind}_{P(\mathbb{Q}_p)}^{G(\mathbb{Q}_p)}(|\nu|^9)$  is “small”, i.e., many twisted Jacquet modules are 0. Consequently, the rank three and rank four Fourier coefficients of  $\theta_{ntm}$  are 0.*

## Theorem 5

*The weight 8 modular form  $\theta_{ntm}$  has rational Fourier coefficients.*

## Proof.

- 1 Savin's result gives vanishing of rank three and four Fourier coefficients
- 2 Explicit computation (outside range of abs. convergence) gives rationality of rank 1 and rank 2 Fourier coefficients
- 3 Constant term analyzed using work of H. Kim on weight 8 singular modular form on  $GE_{7,3}$



# Explicit computation of $\theta_{ntm}$

- 1 Define special  $Sym^{2\ell}(V_2)$ -valued Eisenstein series  $E_\ell(g)$  on  $SO(3, 4k + 3)$
- 2 Prove that the constant term  $\theta_{ntm}$  from  $E_{8,4}$  down to  $SO(3, 11)$  is  $E_8(g)$
- 3 **Theorem:** the  $E_\ell(g)$  have rational Fourier coefficients (in a precise sense)
- 4 The Fourier coefficients of  $E_8(g)$  can be identified with rank 1 and rank 2 Fourier coefficients of  $\theta_{ntm}$ .

To prove the  $E_\ell(g)$  have rational Fourier coefficients:

## Jacquet integral

Explicit computation of certain Archimedean Jacquet integral

$$\int_{V_{2,4k+2}(\mathbf{R})} e^{2\pi i(v,x)} f_\ell(w_n(x)) dx.$$



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# A distinguished modular form

Let  $G$  be one of the quaternionic exceptional groups,  $P = MN$  its Heisenberg parabolic,  $W(\mathbf{R}) = (N/[N, M])^\vee$ . Globally, there is an arithmetic invariant on the orbits of  $M(\mathbf{Q})$  on  $W(\mathbf{Q})$ :

$$q : W(\mathbf{Q})^{rk=4} \rightarrow \mathbf{Q}^\times / (\mathbf{Q}^\times)^2 = \{ \text{quadratic etale extensions of } \mathbf{Q} \}.$$

**Fact:** If  $F$  a modular form on  $G$ ,  $\omega \in W(\mathbf{Q})$  and  $q(\omega) > 0$  then  $a_F(\omega) = 0$ . In other words, only  $\omega$  corresponding to imaginary quadratic fields can have associated nonzero Fourier coefficients

Fix an imaginary quadratic extension  $E/\mathbf{Q}$ . Associated to  $E$ , there is a group  $G_E$  over  $\mathbf{Q}$  of type  $E_{6,4}$ .

## Theorem 6

*There is a weight 4 modular form  $\theta_E$  on  $G_E$  with Fourier coefficients in  $\mathbf{Z}$  such that  $\theta_E$  has nonzero Fourier coefficients of all ranks and*

- 1 *If  $\omega \in W(\mathbf{Q})^{rk=4}$  and  $q(\omega) \in \mathbf{Q}^\times / (\mathbf{Q}^\times)^2$  does not represent  $E$ , then the Fourier coefficient  $a_{\theta_E}(\omega) = 0$*

## Proof of Theorem 6:

- 1 Define  $G_E$ , which is simply-connected of type  $E_{6,4}$
- 2 Carefully embed  $G_E$  in  $E_{8,4}$  via  $\iota_E : G_E \rightarrow E_{8,4}$
- 3 Define  $\theta_E = \iota_E^*(\theta_{min})$ , the pull-back of the modular form generating the minimal representation on  $E_{8,4}$
- 4 The Fourier coefficients of  $\theta_E$  can then be computed from those of  $\theta_{min}$
- 5  $\theta_{min}$  only has nonzero Fourier coefficients for the **most degenerate**  $\omega$ , those  $\omega$  of rank 1
- 6 This vanishing of  $a_{\theta_{min}}(\omega)$  imposes a strong arithmetic condition on the Fourier coefficients of  $\theta_E$ .

# Thank you

Thank you for your attention!