

The entropic curvature-dimension condition and Bochner's inequality

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joint work with M. Erbar and K.-Th. Sturm (Univ. Bonn)

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1. Introduction

Purpose/History

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Unify the study of

$$\text{“Ric} \geq K \text{ and dim} \leq N\text{”}$$

in terms of

optimal transportation / heat distribution

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- Established for “ $\text{Ric} \geq K$ ”
(Riem. mfd.: [von Renesse & Sturm '05]
mm-sp.: [Ambrosio, Gigli & Savaré et al.]

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- via $P_t = e^{t\Delta}$ (or Δ ; Bochner inequality):
[Bakry & Émery '84, Bakry & Ledoux '06]
- via Optimal transport: [Sturm '06,
Lott & Villani '09, Sturm & Bacher '10]
in terms of \mathbf{Ent}

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in terms of \mathbf{Ent}

Key “fact” for $\mathbf{Ric} \geq K$

$P_t\mu$: gradient curve of \mathbf{Ent} on $(\mathcal{P}(X), W_2)$

$$\left(\mathbf{Ent}(\mu) := \int_X \rho \log \rho \, d\mathbf{m} \quad (\mu = \rho \mathbf{m}) \right)$$

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in terms of the Rényi entropy (**NOT Ent**)

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[Bakry & Émery '84, Bakry & Ledoux '06]
- via Optimal transport: [Sturm '06,
Lott & Villani '09, Sturm & Bacher '10]
in terms of the Rényi entropy (NOT Ent)
- Another approach via porous medium eq.
(gradient curve of the Rényi ent.)
[Ambrosio, Savaré & Mondino]

Goal

- Characterize “ $\text{Ric} \geq K$ & $\dim \leq N$ ” by Ent
- Find missing conditions
connecting $\left\{ \begin{array}{l} \text{optimal transport approach} \\ \& \\ P_t \text{ approach} \end{array} \right.$
- Establish the equivalence

Goal

- Characterize “ $\text{Ric} \geq K$ & $\dim \leq N$ ” by Ent
 $\Rightarrow (K, N)$ -convexity of Ent
- Find missing conditions
connecting $\left\{ \begin{array}{l} \text{optimal transport approach} \\ \& \\ P_t \text{ approach} \end{array} \right.$
 $\Rightarrow (K, N)$ -evolution variational inequality
Space-time W_2 -control
- Establish the equivalence

Outline of the talk

- 1. Introduction**
- 2. Entropic curvature-dimension condition**
- 3. Evolution variational inequalities**
- 4. Connection with Bakry-Émery theory**
- 5. Applications**

1. Introduction
- 2. Entropic curvature-dimension condition**
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5. Applications

Framework

(X, d, \mathbf{m}) : Polish geodesic metric measure sp.,
 \mathbf{m} : loc. finite, σ -finite, $\text{supp } \mathbf{m} = X$,

$P_t f = e^{t\Delta} f$: gradient curve in $L^2(\mathbf{m})$ of
Cheeger's L^2 -Dirichlet energy functional

$$\text{Ch}(f) = \int |\nabla f|_w^2 d\mathbf{m}$$

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$$\begin{aligned} \text{Ch}(f) &= \int |\nabla f|_w^2 d\mathbf{m} \\ &= \inf_{\substack{f_n: \text{Lip.} \\ f_n \rightarrow f \text{ in } L^2}} \liminf_n \int |\nabla f_n|^2 d\mathbf{m} \end{aligned}$$

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★ (X, d, m) : infinitesimally Hilbertian

$\stackrel{\text{def}}{\Leftrightarrow}$ Ch: quadratic form

(\Rightarrow Ch: Dirichlet form & P_t, Δ : linear)

Entropic curvature-dimension condition

K -convexity of Ent

$$\text{“Hess Ent} \geq K\text{”}$$

w.r.t. W_2

Entropic curvature-dimension condition

K -convexity of Ent

$$\text{“Hess Ent} \geq K\text{”} \Leftrightarrow \text{Ric} \geq K$$

Entropic curvature-dimension condition

(K, N) -convexity of Ent

$$\text{“Hess Ent} - \frac{1}{N} \nabla \text{Ent}^{\otimes 2} \geq K\text{”}$$

Entropic curvature-dimension condition

$$\text{“Hess Ent} - \frac{1}{N} \nabla \text{Ent}^{\otimes 2} \geq K\text{”}$$



$$\text{“Hess } U_N \leq -\frac{K}{N} U_N\text{”, } U_N := \exp\left(-\frac{1}{N} \text{Ent}\right)$$

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$\mathbf{CD}^e(K, N)$: Entropic curvature-dimension condition

$\forall \mu_0, \mu_1 \in \mathcal{P}_2(X), \exists (\mu_t)_{t \in [0,1]}$: W_2 -geod. s.t.

$$U_N(\mu_t) \geq \sigma_{K/N}^{(1-t)}(W_2(\mu_0, \mu_1))U_N(\mu_0) \\ + \sigma_{K/N}^{(t)}(W_2(\mu_0, \mu_1))U_N(\mu_1),$$

$$\mathfrak{s}_\kappa(r) := \frac{\sin(\sqrt{\kappa}r)}{\sqrt{\kappa}}, \quad \sigma_\kappa^{(s)}(r) := \frac{\mathfrak{s}_\kappa(sr)}{\mathfrak{s}_\kappa(r)}$$

Relation with known conditions

$\text{CD}^*(K, N)$: reduced curvature-dimension condition
[Bacher & Sturm '10]

\Downarrow geod.'s on X are non-branching

$\forall \mu_0, \mu_1 \in \mathcal{P}_2(X), \exists (\mu_r)_{r \in [0,1]}$: W_2 -geod.,
 $\exists \Gamma \in \mathcal{P}(\text{Geo}(X))$: "lift" of $(\mu_r)_{r \in [0,1]}$ s.t.

$$\rho_t(\gamma_t)^{-\frac{1}{N}} \geq \sigma_{K/N}^{(1-t)}(d(\gamma_0, \gamma_1)) \rho_0(\gamma_0)^{-\frac{1}{N}} \\ + \sigma_{K/N}^{(t)}(d(\gamma_0, \gamma_1)) \rho_1(\gamma_1)^{-\frac{1}{N}}$$

for Γ -a.e. γ , where $\rho_t \# \mathbf{m} = \mu_t$

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for Γ -a.e. γ , where $\rho_t \mathbf{m} = \mu_t$

$$\Downarrow \quad \rho_t(\gamma_t)^{-\frac{1}{N}} = \exp\left(-\frac{1}{N} \log \rho_t(\gamma_t)\right) \\ \& \text{ Jensen's ineq.}$$

CD^e(K, N)

Relation with known conditions

$$\rho_t(\gamma_t)^{-\frac{1}{N}} \geq \sigma_{K/N}^{(1-t)}(d(\gamma_0, \gamma_1)) \rho_0(\gamma_0)^{-\frac{1}{N}} \\ + \sigma_{K/N}^{(t)}(d(\gamma_0, \gamma_1)) \rho_1(\gamma_1)^{-\frac{1}{N}}$$

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geod.'s on \mathbf{X} are non-branching

$\mathbf{CD}^e(K, N)$

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K -evolution variational ineq.

\mathbf{EVI}_K of Ent

$\forall \mu_0, \exists (\mu_t)_{t \geq 0}$: abs. conti. s.t. for $\forall \nu$,

$$\frac{d}{dt} \left(\frac{W_2(\mu_t, \nu)^2}{2} \right) + \frac{K}{2} W_2(\mu_t, \nu)^2 + \text{Ent}(\mu_t) \leq \text{Ent}(\nu)$$

★ Heuristically, \forall geod. $(\sigma_s)_{s \in [0,1]}$ with $\sigma_0 = \mu_t$,

\mathbf{EVI}_K for $\nu = \sigma_s$:

$$-\langle \partial_t \mu_t, \dot{\sigma}_0 \rangle \leq \frac{\text{Ent}(\sigma_s) - \text{Ent}(\sigma_0)}{s} + o(1)$$

$$\Rightarrow \partial_t \mu_t = -\nabla \text{Ent}(\mu_t)$$

K -evolution variational ineq.

Properties of \mathbf{EVI}_K [Ambrosio, Gigli & Savaré]
[Ambrosio, Gigli, Mondino & Rajala]

K -evolution variational ineq.

The volume growth cond. (V)

$$\int_X \exp\left(-\exists c d(x_0, x)^2\right) \mathfrak{m}(dx) < \infty$$

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Properties of \mathbf{EVI}_K [Ambrosio, Gigli & Savaré]
[Ambrosio, Gigli, Mondino & Rajala]

- $\forall \mu_0, \exists (\mu_t)_{t \geq 0}$ sol. to \mathbf{EVI}_K & (V)
 - $\Leftrightarrow \text{Hess Ent} \geq K$ & $P_t \mu_0$: linear w.r.t. μ_0
 - $\Leftrightarrow \text{Hess Ent} \geq K$ & infin. Hilb.
- $(\mu_t)_{t \geq 0}$ sol. to $\mathbf{EVI}_K \Rightarrow \mu_t = P_t \mu_0$

$\mathbf{EVI}_{K,N}$ and Riemannian $\mathbf{CD}^e(K, N)$

$\mathbf{EVI}_{K,N}$ of Ent

$\forall \mu_0, \exists (\mu_t)_{t \geq 0}$: abs. conti. s.t. for $\forall \nu$,

$$\begin{aligned} \frac{d}{dt} \mathfrak{s}_{K/N} \left(\frac{W_2(\mu_t, \nu)}{2} \right)^2 + K \mathfrak{s}_{K/N} \left(\frac{W_2(\mu_t, \nu)}{2} \right)^2 \\ \leq \frac{N}{2} \left(1 - \frac{U_N(\nu)}{U_N(\mu_t)} \right) \end{aligned}$$

$$\left(\mathfrak{s}_\kappa(r) := \frac{\sin(\sqrt{\kappa}r)}{\sqrt{\kappa}}, U_N := \exp \left(-\frac{1}{N} \mathbf{Ent} \right) \right)$$

$\mathbf{EVI}_{K,N}$ and Riemannian $\mathbf{CD}^e(K, N)$

Theorem 1 ($\mathbf{RCD}^*(K, N)$ cond.)

For $K \in \mathbb{R}$ and $N > 0$, TFAE:

- (i) $\mathbf{CD}^*(K, N)$ & infinitesimally Hilbertian
- (ii) $\mathbf{CD}^e(K, N)$ & infinitesimally Hilbertian
- (iii) $\forall \mu_0, \exists (\mu_t)_{t \geq 0}$: $\mathbf{EVI}_{K,N}$ -curve & (V)

$$(V) \int_X \exp\left(-\exists c d(x_0, x)^2\right) \mathfrak{m}(dx) < \infty$$

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★ $\mathbf{RCD}^*(K, N) \Rightarrow \mathbf{RCD}(K, \infty)$

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★ $\mathbf{RCD}^*(K, N) \Rightarrow \mathbf{RCD}(K, \infty)$

\Rightarrow geod.'s on \mathbf{X} are essentially non-branching
[Rajala & Sturm]

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Regularity results on $\mathbf{RCD}(K, \infty)$ sp.

[Ambrosio, Gigli, Savaré et al.]: $\mathbf{RCD}(K, \infty)$ yields

- The volume growth bound **(V)**
- P_t : strong Feller, i.e. $P_t f \in C_b^{\text{Lip}}$ for $f \in L^\infty(\mathfrak{m})$
- $|\nabla f|_w \leq 1$ \mathfrak{m} -a.e. $\Rightarrow f$: 1-Lip.

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Assumption 1 (cf. [Ambrosio, Gigli & Savaré])

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W_2 -control

\mathbf{EVI}_K -curve

$$\frac{d}{dt} \left(\frac{W_2(\mu_t, \nu)^2}{2} \right) + \frac{K}{2} W_2(\mu_t, \nu)^2 + \mathbf{Ent}(\mu_t) \leq \mathbf{Ent}(\nu)$$

\Downarrow

$\nu = \nu_t$: another \mathbf{EVI}_K -curve

W_2 -control

$$W_2(\mu_t, \nu_t) \leq e^{-Kt} W_2(\mu_0, \nu_0)$$

Space-time W_2 -control

EVI $_{K,N}$ -curve

$$\begin{aligned} \frac{d}{dt} \mathfrak{s}_{K/N} \left(\frac{W_2(\mu_t, \nu)}{2} \right)^2 + K \mathfrak{s}_{K/N} \left(\frac{W_2(\mu_t, \nu)}{2} \right)^2 \\ \leq \frac{N}{2} \left(1 - \frac{U_N(\nu)}{U_N(\mu_t)} \right) \end{aligned}$$

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Space-time W_2 -control:

$$\mathfrak{s}_{K/N} \left(\frac{W_2(\mu_t, \nu_s)}{2} \right)^2 \leq e^{-K(s+t)} \mathfrak{s}_{K/N} \left(\frac{W_2(\mu_0, \nu_0)}{2} \right)^2 + \frac{N}{2} \frac{1 - e^{-K(s+t)}}{K(s+t)} (\sqrt{t} - \sqrt{s})^2$$

Space-time W_2 -control

Heuristics ($K = 0$)

$$\text{Hess Ent} \geq \frac{1}{N} \nabla \text{Ent}^{\otimes 2} \Rightarrow \text{Sp.-t. } W_2\text{-control}$$

$(\sigma_r)_{r \in [0,1]}$: W_2 -geod. from $\mu_{t_0 u}$ to $\nu_{t_1 u}$,

$\varphi_r := \langle \nabla \text{Ent}(\sigma_r), \dot{\sigma}_r \rangle$, $(t_r)_{r \in [0,1]}$: interpolation

$$\begin{aligned} \frac{\partial}{\partial u} \frac{W_2(\mu_{t_0 u}, \nu_{t_1 u})^2}{2} &= t_0 \varphi_0 - t_1 \varphi_1 \\ &= - \int_0^1 \frac{\partial}{\partial r} (t_r \varphi_r) dr \leq - \int_0^1 \dot{t}_r \varphi_r + \frac{1}{N} t_r \varphi_r^2 dr \\ &\leq \frac{N}{4} \int_0^1 \frac{\dot{t}_r^2}{t_r} dr \end{aligned}$$

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$$t_r := ((1-r)\sqrt{t_0} + r\sqrt{t_1})^2$$

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Bakry-Ledoux gradient estimate

Space-time W_2 -control:

$$\mathfrak{s}_{K/N} \left(\frac{W_2(\mu_t, \nu_s)}{2} \right)^2 \leq e^{-K(s+t)} \mathfrak{s}_{K/N} \left(\frac{W_2(\mu_0, \nu_0)}{2} \right)^2 + \frac{N}{2} \frac{1 - e^{-K(s+t)}}{K(s+t)} (\sqrt{t} - \sqrt{s})^2$$

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↓ Derivative in space & time

Bakry-Ledoux gradient est.: For $f \in W^{1,2}$, \mathfrak{m} -a.e.,

$$|\nabla P_t f|_w^2 \leq e^{-2Kt} P_t(|\nabla f|_w^2) - \frac{2tC(t)}{N} |\Delta P_t f|^2,$$

$$C(t) = 1 + O(t) \quad (t \rightarrow 0)$$

$\text{RCD}^*(K, N) \Rightarrow \text{Bakry-Ledoux}$

Theorem 2

For $K \in \mathbb{R}$ & $N > 0$, $(\text{iii}) \Rightarrow (\text{iv}) \Rightarrow (\text{v})$

(iii) $\forall \mu_0, \exists \text{EVI}_{K,N}\text{-curve } (\mu_t)_t$ & (V)

(iv) *Ass. 1, infin. Hilb.* & Space-time W_2 -control

(v) *Ass. 1, infin. Hilb.* & Bakry-Ledoux grad. est.

Bakry-Ledoux \Leftrightarrow Bochner

Theorem 3

(X, d, \mathfrak{m}) : *infinitesimally Hilbertian*

For $K \in \mathbb{R}$ & $N > 0$, **(v) \Leftrightarrow (vi)**

$$\text{(v)} \quad |\nabla P_t f|_w^2 \leq e^{-2Kt} P_t(|\nabla f|_w^2) - \frac{2tC(t)}{N} |\Delta P_t f|^2$$

(vi) $\forall f \in W^{1,2}$ with $\Delta f \in W^{1,2}$ &
 $g \in D(\Delta) \cap L^\infty$ with $g \geq 0$ & $\Delta g \in L^\infty$

$$\int_X \left(\frac{1}{2} \Delta g |\nabla f|_w^2 - g \langle \nabla f, \nabla \Delta f \rangle \right) d\mathfrak{m} \\ \geq \int_X g \left(K |\nabla f|_w^2 + \frac{1}{N} |\Delta f|^2 \right) d\mathfrak{m}$$

Bakry-Ledoux \Leftrightarrow Bochner

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$g \in D(\Delta) \cap L^\infty$ with $g \geq 0$ & $\Delta g \in L^\infty$

$$\int_X \left(\frac{1}{2} \Delta g |\nabla f|_w^2 - g \langle \nabla f, \nabla \Delta f \rangle \right) dm$$
$$\geq \int_X g \left(K |\nabla f|_w^2 + \frac{1}{N} |\Delta f|^2 \right) dm$$

Bakry-Ledoux \Rightarrow $\text{RCD}^*(K, N)$

Idea: Action estimate (as in [Ambrosio, Gigli, Savaré])

$$\frac{W_2(\mu_0, P_\tau \mu_1)^2}{2} - \frac{1}{2} \int_0^1 |\dot{\mu}_s|^2 e^{-2K\tau} ds \leq Nt(U_N(P_\tau \mu_1) - U_N(\mu_0)) \quad (\spadesuit)$$

for $t \ll 1$, where $\tau = \tau_{s,t} : \partial_t \tau = s U_N(P_\tau \mu_s),$
 $\tau_{s,0} = 0$

$$(\Rightarrow \partial_t P_\tau \mu_s = s N \nabla U_N(P_\tau \mu_s))$$

Ingredients of the proof

Kantorovich duality, approximations

Bakry-Ledoux \Rightarrow $\text{RCD}^*(K, N)$

Idea: Action estimate (as in [Ambrosio, Gigli, Savaré])

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for $t \ll 1$, where $\tau = \tau_{s,t} : \partial_t \tau = s U_N(P_\tau \mu_s),$
 $\tau_{s,0} = 0$

$$(\Rightarrow \partial_t P_\tau \mu_s = s N \nabla U_N(P_\tau \mu_s))$$

Ingredients of the proof

Kantorovich duality, approximations & detailed calc.

Bakry-Ledoux \Rightarrow $\text{RCD}^*(K, N)$

Idea: Action estimate (as in [Ambrosio, Gigli, Savaré])

$$\frac{W_2(\mu_0, P_\tau \mu_1)^2}{2} - \frac{1}{2} \int_0^1 |\dot{\mu}_s|^2 e^{-2K\tau} ds \leq Nt(U_N(P_\tau \mu_1) - U_N(\mu_0)) \quad (\spadesuit)$$

for $t \ll 1$, where $\tau = \tau_{s,t} : \partial_t \tau = s U_N(P_\tau \mu_s)$,



For $(\sigma_s)_{s \in [0,1]}$: W_2 -geod.,
 (\spadesuit) for $(\mu_0, \mu_1) = (\sigma_0, \sigma_r)$ or (σ_1, σ_r)
& $t \rightarrow 0$

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$$U_N(\sigma_r) - (1-r)U_N(\sigma_0) - rU_N(\sigma_1) \geq \frac{K}{N} |\dot{\sigma}|^2 \int_0^1 (s(1-r)) \wedge ((1-s)r) U_N(\sigma_r) dr$$

Bakry-Ledoux \Rightarrow $\text{RCD}^*(K, N)$

Theorem 4

(X, d, \mathfrak{m}) : *infinitesimally Hilbertian* & *Ass. 1*

For $K \in \mathbb{R}$ & $N > 0$, **(v) \Rightarrow (ii)**:

(v) Bakry-Ledoux gradient estimate

$$|\nabla P_t f|^2 \leq e^{-2Kt} P_t(|\nabla f|^2) - \frac{2tC(t)}{N} |\Delta P_t f|^2$$

(ii) Entropic curv.-dim. $\text{RCD}^*(K, N)$:

$$\text{“ Hess Ent} - \frac{1}{N} \nabla \text{Ent}^{\otimes 2} \geq K \text{”}$$

Summary

Theorem 5

$$K \in \mathbb{R}, N > 0$$

(1) TFAE

(i) $\mathbf{CD}^*(K, N)$ & *infin. Hilb.*

(ii) $\mathbf{CD}^e(K, N)$ & *infin. Hilb.*

(iii) $\exists \mathbf{EVI}_{K, N}$ -curves & **(V)**

(2) Under (X, d, \mathbf{m}) : *infin. Hilb.* & *Ass. 1*,
either **(iv)**–**(vi)** is also equiv. to **(i)**–**(iii)**

(iv) Space-time W_2 -control

(v) Bakry-Ledoux gradient estimate

(vi) Bochner inequality

1. Introduction
2. Entropic curvature-dimension condition
3. Evolution variational inequalities
4. Connection with Bakry-Émery theory
- 5. Applications**

Properties of $\text{RCD}^*(K, N)$

- Stability under mGH (or Sturm's \mathbb{D})-conv.
- Tensorization
- From local to global
- Measure contraction property $\text{MCP}(K, N)$
 - (via $\text{CD}^*(K, N)$; [Cavalletti & Sturm])
 - (sharp) Bishop-Gromov volume comparison
 - volume doubling property
 - (local unif.) Poincaré ineq. [Rajala]
 - $\Rightarrow \exists$ heat kernel, two-sided Gaussian bound
 - \Rightarrow Ultracontractivity of P_t

Properties of $\text{RCD}^*(K, N)$

- N -precision of f'nal ineq.'s
 - N -HWI ineq.
 - $\Rightarrow N$ -log Sobolev ineq.
 - $\Rightarrow N$ -Talagrand ineq.
- Lipschitz regularity of the heat kernel/eigenfn.'s
- Li-Yau's ineq. [Garofalo & Mondino]

Examples

- $-N \log \mathfrak{s}_{K/N}(x)$ or $-N \log \mathfrak{s}'_{K/N}(x)$
are (K, N) -convex fn's on \mathbb{R} (or an interval)
- When $(X, d, e^{-V} \text{vol})$: cpl. Riem. mfd, $\partial X = \emptyset$,
 $\mathbf{RCD}^*(K, N) \Leftrightarrow$

$$\text{Ric} + \text{Hess } V - \frac{1}{N - m} \nabla V^{\otimes 2} \geq K$$

$(m = \dim X \leq N)$

- More generally,

$$(X, d, \mathfrak{m}): \mathbf{RCD}^*(K', m)$$

$$\& V: (K'', N - m)\text{-convex on } X$$

$$\Rightarrow (X, d, e^{-V} \mathfrak{m}): \mathbf{RCD}^*(K' + K'', N)$$

Examples

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