

# Relative algebraic correspondences and mixed motivic sheaves

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## Abstract

We introduce the notion of a *quasi DG category*, and give a procedure to construct a triangulated category associated to it. Then we apply it to the construction of the triangulated category of mixed motivic sheaves over a base variety.

**Introduction.** We will introduce the notion of a *quasi DG category*, generalizing that of a DG category. To a quasi DG category satisfying certain additional conditions, we associate another quasi DG category, the quasi DG category of *C-diagrams*. We then show the homotopy category of the quasi DG category of *C-diagrams* has the structure of a triangulated category (see §1).

The main example of a quasi DG category comes from algebraic geometry, as explained in §2. We establish a theory of complexes of *relative correspondences*; it generalizes the theory of complexes of correspondences of smooth projective varieties, as developed in [6]. The class of smooth quasi-projective varieties equipped with projective maps to a fixed quasi-projective variety  $S$ , and the complexes of relative correspondences between them constitute a quasi DG category, denoted  $Symb(S)$ .

We apply the above procedure to  $Symb(S)$  to obtain  $\mathcal{D}(S)$ , the triangulated category of mixed motives over  $S$ . If the base variety is the Spec of the ground field, this coincides with the triangulated category of motives as in [6].

The full details of this article will appear elsewhere (see [8] for §2, [9] for §1).

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**Notation and conventions.** (a) A double complex  $A = (A^{i,j}; d', d'')$  is a bi-graded abelian group with differentials  $d'$  of degree  $(1, 0)$ ,  $d''$  of degree  $(0, 1)$ , satisfying  $d'd'' + d''d' = 0$ . Its total complex  $\text{Tot}(A)$  is the complex with  $\text{Tot}(A)^k = \bigoplus_{i+j=k} A^{i,j}$  and the differential  $d = d' + d''$ .

Let  $(A, d_A)$  and  $(B, d_B)$  be complexes. Then the tensor product complex  $A \otimes B$  is the graded abelian group with  $(A \otimes B)^n = \bigoplus_{i+j=n} A^i \otimes B^j$ , and with differential  $d$  given by

$$d(x \otimes y) = (-1)^{\deg y} dx \otimes y + x \otimes dy .$$

Note this differs from the usual convention. Alternatively one obtains the same complex by viewing  $A \otimes B$  as a double complex with differentials  $(-1)^j d \otimes 1$  and  $1 \otimes d$  and taking its total complex.

More generally for  $n \geq 2$  one has the notion of  $n$ -tuple complex. An  $n$ -tuple complex is a  $\mathbb{Z}^n$ -graded abelian group  $A^{i_1, \dots, i_n}$  with differentials  $d_1, \dots, d_n$ ,  $d_k$  raising  $i_k$  by 1, such that for  $k \neq \ell$ ,  $d_k d_\ell + d_\ell d_k = 0$ . A single complex  $\text{Tot}(A)$ , called the total complex, is defined. For  $n$  complexes  $A_1^\bullet, \dots, A_n^\bullet$ , the tensor product  $A_1^\bullet \otimes \dots \otimes A_n^\bullet$  is an  $n$ -tuple complex; one can take its total complex as well.

(b) Let  $I$  be a non-empty finite totally ordered set (we will simply say a finite ordered set), so  $I = \{i_1, \dots, i_n\}, i_1 < \dots < i_n$ , where  $n = |I|$ . Let  $\text{in}(I) = i_1$ ,  $\text{tm}(I) = i_n$ , and  $\overset{\circ}{I} = I - \{\text{in}(I), \text{tm}(I)\}$ . For example, for a positive integer  $n$ ,  $I = [1, n] = \{1, \dots, n\}$  is finite ordered set. In this case, if  $n \geq 2$ ,  $\overset{\circ}{I} = (1, n) := \{2, \dots, n-1\}$ . If  $I = \{i_1, \dots, i_n\}$ , a subset  $I'$  of the form  $[i_a, i_b] = \{i_a, \dots, i_b\}$  is called a *sub-interval*.

Given a subset of  $\overset{\circ}{I}$ ,  $\Sigma = \{i_1, \dots, i_{a-1}\}$ , where  $i_1 < i_2 < \dots < i_{a-1}$ , one has a decomposition of  $I$  into the sub-intervals  $I_1, \dots, I_a$ , where  $I_k = [i_{k-1}, i_k]$ , with  $i_0 = i_1$ ,  $i_a = i_n$ . Thus the sub-intervals satisfy  $I_k \cap I_{k+1} = \{i_k\}$  for  $k = 1, \dots, a-1$ . The sequence  $I_1, \dots, I_a$  is called the *segmentation* of  $I$  corresponding to  $\Sigma$ .

## §1. Quasi DG categories and triangulated categories.

The notion of a quasi DG category is a generalization of that of a DG category. Recall that a DG category is an additive category  $\mathcal{C}$ , such that for a pair of objects  $X, Y$  the group of homomorphisms  $F(X, Y)$  has the structure of a complex, and the composition  $F(X, Y) \otimes F(Y, Z) \rightarrow F(X, Z)$  is a map of complexes.

(1.1) **Definition.** A quasi DG category  $\mathcal{C}$  consists of data (i)-(iii), satisfying the conditions (1)-(5). When necessary we will also impose additional structure (iv),(v), satisfying (6)-(11).

(i) *The class of objects  $Ob(\mathcal{C})$ .* There is a distinguished object  $O$ , called the zero object. There is direct sum of objects  $X \oplus Y$ , and one has  $(X \oplus Y) \oplus Z = X \oplus (Y \oplus Z)$ .

(ii) *Multiple complexes  $F(X_1, \dots, X_n)$ .* For each sequence of objects  $X_1, \dots, X_n$  ( $n \geq 2$ ), a complex of free abelian groups  $F(X_1, \dots, X_n)$ .

For a subset  $S \subset (1, n)$ , let  $I_1, \dots, I_a$  be the segmentation of  $I = [1, n]$  corresponding to  $S$ , and  $F(X_1, \dots, X_n \upharpoonright S) := F(I_1) \otimes \dots \otimes F(I_a)$ ; this is an  $a$ -tuple complex. More generally, for a finite ordered set  $I$  with cardinality  $\geq 2$  and a sequence of objects  $(X_i)_{i \in I}$ , one has  $F(I) = F(I; X)$  and  $F(I \upharpoonright S) = F(I \upharpoonright S; X)$ .

(iii) *Multiple complexes  $F(X_1, \dots, X_n | S)$  and maps  $\iota_S, \sigma_{S S'}$  and  $\varphi_K$ .*

(1) We require given a quasi-isomorphic multiple subcomplex of free abelian groups

$$\iota_S : F(X_1, \dots, X_n | S) \hookrightarrow F(X_1, \dots, X_n \upharpoonright S) .$$

We assume  $F(X_1, \dots, X_n | \emptyset) = F(X_1, \dots, X_n)$ . The  $F(X_1, \dots, X_n | S)$  is additive in each variable, namely the following properties are satisfied: If a variable  $X_i = O$ , then it is zero. If  $X_1 = Y_1 \oplus Z_1$ , then one has a direct sum decomposition of complexes

$$\begin{aligned} & F(Y_1 \oplus Z_1, X_2, \dots, X_n | S) \\ &= F(Y_1, \dots, X_n | S) \oplus F(Z_1, \dots, X_n | S) . \end{aligned}$$

The same for  $X_n$ . If  $1 < i < n$  and  $X_i = Y_i \oplus Z_i$ , then there is a direct sum decomposition of complexes

$$\begin{aligned} & F(X_1, \dots, X_{i-1}, Y_i \oplus Z_i, X_{i+1}, \dots, X_n | S) \\ &= F(X_1, \dots, Y_i, \dots, X_n | S) \\ &\oplus F(X_1, \dots, Z_i, \dots, X_n | S) \\ &\oplus F(X_1, \dots, Y_i | S_1) \otimes F(Z_i, \dots, X_n | S_2) \\ &\oplus F(X_1, \dots, Z_i | S_1) \otimes F(Y_i, \dots, X_n | S_2) \end{aligned}$$

where  $S_1, S_2$  is the partition of  $S$  by  $i$ , namely  $S_1 = S \cap (1, i)$ ,  $S_2 = S \cap (i, n)$ . We often refer to the last two terms as the *cross terms*. (Note the complex

$F(X_1, \dots, X_n \dashv S)$  is additive in this sense.) The inclusion  $\iota_S$  is compatible with the additivity.

For a subset  $T \subset S$ , if  $I_1, \dots, I_c$  is the segmentation corresponding to  $T$ , and  $S_i = S \cap \overset{\circ}{I}_i$ , one requires there is an inclusion of multiple complexes

$$F(I|S) \subset F(I_1|S_1) \otimes \dots \otimes F(I_c|S_c) \quad (1.1.1)$$

where the latter group is viewed as a subcomplex of  $F(I \dashv S)$  by the tensor product of the inclusions  $\iota_{S_i} : F(I_i|S_i) \hookrightarrow F(I_i \dashv S_i)$ .

(2) For  $S \subset S'$  given a surjective quasi-isomorphism of multiple complexes

$$\sigma_{SS'} : F(X_1, \dots, X_n|S) \rightarrow F(X_1, \dots, X_n|S') .$$

For  $S \subset S' \subset S''$ ,  $\sigma_{SS''} = \sigma_{S'S''}\sigma_{SS'}$ . The  $\sigma_{SS'}(X_1, \dots, X_n)$  is additive in each variable, namely if  $X_i = Y_i \oplus Z_i$ , then  $\sigma_{SS'}(X_1, \dots, X_n)$  is the direct sum of the maps  $\sigma_{SS'}(X_1, \dots, Y_i, \dots, X_n)$ ,  $\sigma_{SS'}(X_1, \dots, Z_i, \dots, X_n)$ , and the maps

$$\begin{aligned} \sigma_{S_1 S'_1} \otimes \sigma_{S_2 S'_2} &: F(X_1, \dots, Y_i|S_1) \otimes F(Z_i, \dots, X_n|S_2) \\ &\rightarrow F(X_1, \dots, Y_i|S'_1) \otimes F(Z_i, \dots, X_n|S'_2) , \end{aligned}$$

$$\begin{aligned} \sigma_{S_1 S'_1} \otimes \sigma_{S_2 S'_2} &: F(X_1, \dots, Z_i|S_1) \otimes F(Y_i, \dots, X_n|S_2) \\ &\rightarrow F(X_1, \dots, Z_i|S'_1) \otimes F(Y_i, \dots, X_n|S'_2) , \end{aligned}$$

on the cross terms.

The  $\sigma$  is assumed compatible with the inclusion in (1.1.1): If  $S \subset S'$  and  $S'_i = S' \cap \overset{\circ}{I}_i$  the following commutes:

$$\begin{array}{ccc} F(I|S) & \hookrightarrow & F(I_1|S_1) \otimes \dots \otimes F(I_1|S_1) \\ \sigma_{SS'} \downarrow & & \downarrow \otimes \sigma_{S_i S'_i} \\ F(I|S') & \hookrightarrow & F(I_1|S'_1) \otimes \dots \otimes F(I_1|S'_1) . \end{array}$$

We write  $\sigma_S = \sigma_{\emptyset S} : F(I) \rightarrow F(I|S)$ . The composition of  $\sigma_S$  and  $\iota_S$  is denoted  $\tau_S : F(I) \rightarrow F(I \dashv S)$ .

(3) For  $K = \{k_1, \dots, k_b\} \subset (1, n)$  disjoint from  $S$ , a map of multiple complexes

$$\begin{aligned} \varphi_K &: F(X_1, \dots, X_n|S) \\ &\rightarrow F(X_1, \dots, \widehat{X}_{k_1}, \dots, \widehat{X}_{k_b}, \dots, X_n|S) . \end{aligned}$$

If  $K = K' \amalg K''$  then  $\varphi_K = \varphi_{K''} \varphi_{K'} : F(I|S) \rightarrow F(I - K|S)$ . The  $\varphi_K$  is additive in each variable: If  $X_i = Y_i \oplus Z_i$ , then  $\varphi_K(X_1, \dots, X_n)$  is the sum of  $\varphi_K(X_1, \dots, Y_i, \dots, X_n)$ ,  $\varphi_K(X_1, \dots, Z_i, \dots, X_n)$ , and, if  $i \notin K$ , the maps

$$\varphi_{K_1} \otimes \varphi_{K_2} \text{ on } F(X_1, \dots, Y_i \amalg S_1) \otimes F(Z_i, \dots, X_n \amalg S_2) ,$$

$$\varphi_{K_1} \otimes \varphi_{K_2} \text{ on } F(X_1, \dots, Z_i \amalg S_1) \otimes F(Y_i, \dots, X_n \amalg S_2)$$

on the cross terms ( $S_1, S_2$  is the partition of  $S$  by  $i$ , and  $K_1, K_2$  is the partition of  $K$  by  $i$ ), and if  $i \in K$ , the zero maps on the cross terms.

$\varphi_K$  is assumed to be compatible with the inclusion in (1.1.1): With the same notation as above and  $K_i = K \cap I_i$ , the following commutes:

$$\begin{array}{ccc} F(I|S) & \hookrightarrow & F(I_1|S_1) \otimes \dots \otimes F(I_c|S_c) \\ \varphi_K \downarrow & & \downarrow \otimes \varphi_{K_i} \\ F(I - K|S) & \hookrightarrow & F(I_1 - K_1|S_1) \otimes \dots \otimes F(I_c - K_c|S_c) . \end{array}$$

If  $K$  and  $S'$  are disjoint the following commutes:

$$\begin{array}{ccc} F(I|S) & \xrightarrow{\varphi_K} & F(I - K|S) \\ \sigma_{SS'} \downarrow & & \downarrow \sigma_{SS'} \\ F(I|S') & \xrightarrow{\varphi_K} & F(I - K|S') . \end{array}$$

(4) (acyclicity of  $\sigma$ ) For disjoint subsets  $R, J$  of  $\overset{\circ}{I}$  with  $|J| \neq \emptyset$ , consider the following sequence of complexes, where the maps are alternating sums of  $\sigma$ , and  $S$  varies over subsets of  $J$ :

$$\begin{array}{c} F(I|R) \xrightarrow{\sigma} \bigoplus_{\substack{|S|=1 \\ S \subset J}} F(I|R \cup S) \\ \xrightarrow{\sigma} \bigoplus_{\substack{|S|=2 \\ S \subset J}} F(I|R \cup S) \rightarrow \dots \rightarrow F(I|R \cup J) \rightarrow 0. \end{array}$$

Then the sequence is exact.

(5) (existence of the identity in the ring  $H^0 F(X, X)$ ) Before stating the condition, note there are composition maps for  $H^0 F(X, Y)$  defined as follows. For three objects  $X, Y$  and  $Z$ , let

$$\psi_Y : F(X, Y) \otimes F(Y, Z) \rightarrow F(X, Z)$$

be the map in the derived category defined as the composition  $\varphi_Y \circ (\sigma_Y)^{-1}$  where the maps are as in

$$F(X, Y) \otimes F(Y, Z) \xleftarrow{\sigma_Y} F(X, Y, Z) \xrightarrow{\varphi_Y} F(X, Z) .$$

The map  $\psi_Y$  is verified to be associative, namely the following commutes in the derived category:

$$\begin{array}{ccc} F(X, Y) \otimes F(Y, Z) \otimes F(Z, W) & \xrightarrow{\psi_Y \otimes id} & F(X, Z) \otimes F(Z, W) \\ \downarrow id \otimes \psi_Z & & \downarrow \psi_Z \\ F(X, Y) \otimes F(Y, W) & \xrightarrow{\psi_Y} & F(X, W) . \end{array}$$

Let  $H^0 F(X, Y)$  be the 0-th cohomology of  $F(X, Y)$ .  $\psi_Y$  induces a map

$$\psi_Y : H^0 F(X, Y) \otimes H^0 F(Y, Z) \rightarrow H^0 F(X, Z) ,$$

which is associative. If  $u \in H^0 F(X, Y)$ ,  $v \in H^0 F(Y, Z)$ , we write  $u \cdot v$  for  $\psi_Y(u \otimes v)$ .

We now require: For each  $X$  there is an element  $1_X \in H^0 F(X, X)$  such that  $1_X \cdot u = u$  for any  $u \in H^0 F(X, Y)$  and  $u \cdot 1_X = u$  for  $u \in H^0 F(Y, X)$ .

(iv) *Diagonal elements and diagonal extension.*

(6) For each irreducible object  $X$  and a constant sequence of objects  $i \mapsto X_i = X$  on a finite ordered set  $I$  with  $|I| \geq 2$ , there is a distinguished element, called the *diagonal element*

$$\Delta_X(I) \in F(I) = F(X, \dots, X)$$

of degree zero and coboundary zero. In particular for  $|I| = 2$  we write  $\Delta_X = \Delta_X(I) \in F(X, X)$ . One requires:

(6-1) If  $S \subset \overset{\circ}{I}$ , and  $I_1, \dots, I_c$  the corresponding segmentation, one has

$$\tau_S(\Delta_X(I)) = \Delta_X(I_1) \otimes \dots \otimes \Delta_X(I_c)$$

in  $F(I \upharpoonright S) = F(I_1) \otimes \dots \otimes F(I_c)$ .

(6-2) For  $K \subset \overset{\circ}{I}$ ,  $\varphi_K(\Delta_X(I)) = \Delta_X(I - K)$ .

(7) Let  $I$  be a finite ordered set,  $k \in I$ ,  $m \geq 2$ , and  $I^\sim$  be the finite ordered set obtained by replacing  $k$  by a finite ordered set with  $m$  elements  $\{k_1, \dots, k_m\}$ . If  $I = [1, n]$ ,  $I^\sim$  is  $\{1, \dots, k-1, k_1, \dots, k_m, k+1, \dots, n\}$ .

There is given a map of complexes, called the *diagonal extension*,

$$\text{diag}(I, I^\sim) : F(I) \rightarrow F(I^\sim)$$

subject to the following conditions (for simplicity assume  $I = [1, n]$ ):

(7-1) If  $k' \neq k$ ,  $\varphi_{k'} \text{diag}(I, I^\sim) = \text{diag}(I - \{k'\}, I^\sim - \{k'\})\varphi_{k'}$ , namely the following square commutes:

$$\begin{array}{ccc} F(I) & \xrightarrow{\text{diag}(I, I^\sim)} & F(I^\sim) \\ \varphi_{k'} \downarrow & & \downarrow \varphi_{k'} \\ F(I - \{k\}) & \xrightarrow{\text{diag}(I - \{k'\}, I^\sim - \{k'\})} & F(I^\sim - \{k'\}) . \end{array}$$

If  $\ell \in \{k_1, \dots, k_m\}$ ,  $\varphi_\ell \text{diag}(I, I^\sim) = \text{diag}(I, I^\sim - \{\ell\})$ . If  $m = 2$  the right side is the identity.

(7-2) If  $k = n$ ,  $\ell \in \{n_1, \dots, n_m\}$ , let  $I'_1, I''$  be the segmentation of  $I^\sim$  by  $\ell$ . Then the following diagram commutes:

$$\begin{array}{ccc} F(I) & \xrightarrow{\text{diag}(I, I^\sim)} & F(I^\sim) \\ \text{diag}(I, I'_1) \downarrow & & \downarrow \tau_\ell \\ F(I'_1) & \longrightarrow & F(I'_1) \otimes F(I'') . \end{array}$$

The lower horizontal map is  $u \mapsto u \otimes \Delta(I'')$ . Note  $I''$  parametrizes a constant sequence of objects, so one has  $\Delta(I'') \in F(I'')$ . Similarly in case  $k = 1$ ,  $\ell \in \{1_1, \dots, 1_m\}$ .

If  $1 < k < n$  and  $\ell \in \{k_1, \dots, k_m\}$ , let  $I_1, I_2$  be the segmentation of  $I$  by  $k$ , and  $I'_1, I'_2$  of  $I^\sim$  by  $\ell$ . One then has a commutative diagram:

$$\begin{array}{ccc} F(I) & \xrightarrow{\text{diag}(I, I^\sim)} & F(I^\sim) \\ \tau_k \downarrow & & \downarrow \tau_\ell \\ F(I_1) \otimes F(I_2) & \longrightarrow & F(I'_1) \otimes F(I'_2) , \end{array}$$

where the lower horizontal arrow is  $\text{diag}(I_1, I'_1) \otimes \text{diag}(I_2, I'_2)$ .

*Remark.* From (6) and (7) it follows that  $[\Delta_X] \in H^0 F(X, X)$  is the identity in the sense of (5). Indeed the following stronger property is satisfied for the maps  $\psi_Y : H^m F(X, Y) \otimes H^n F(Y, Z) \rightarrow H^{m+n} F(X, Z)$  for  $m, n \in \mathbb{Z}$ , defined in a similar manner as in (5) above.

(5)' For each  $u \in H^n F(X, Y)$ ,  $n \in \mathbb{Z}$ , one has  $1_X \cdot u = u$ . Similarly for  $u \in H^n F(Y, X)$ ,  $u \cdot 1_X = u$ .

(v) *The set of generators, notion of proper intersection, and distinguished subcomplexes with respect to constraints.*

(8)(the generating set) For a sequence  $X$  on  $I$ , the complex  $F(I) = F(I; X)$  is degree-wise  $\mathbb{Z}$ -free on a given set of generators  $\mathfrak{S}_F(I) = \mathfrak{S}_F(I; X)$ . More precisely  $\mathfrak{S}_F(I) = \coprod_{p \in \mathbb{Z}} \mathfrak{S}_F(I)^p$ , where  $\mathfrak{S}_F(I)^p$  generates  $F(I)^p$ . This set is compatible with direct sum in each variable: Assume for an element  $k \in I$  one has  $X_k = Y_k \oplus Z_k$ ; let  $X'_i$  (resp.  $X''_i$ ) be the sequence such that  $X'_i = X_i$  for  $i \neq k$ , and  $X'_k = Y_k$  (resp.  $X''_i = X_i$  for  $i \neq k$ , and  $X''_k = Z_k$ ). Then  $\mathfrak{S}_F(I; X) = \mathfrak{S}_F(I; X') \amalg \mathfrak{S}_F(I; X'')$ .

(9) (notion of proper intersection.) Let  $I$  be a finite ordered set,  $I_1, \dots, I_r$  be almost disjoint sub-intervals of  $I$ , namely one has  $\text{tm}(I_i) \leq \text{in}(I_{i+1})$  for each  $i$ . Assume given a sequence of objects  $X_i$  on  $I$ . Let  $\alpha_i \in \mathfrak{S}_F(I_i)$  be a set of elements where  $i$  varies over a subset  $A$  of  $\{1, \dots, r\}$ . We are given the condition whether the set  $\{\alpha_i\}$  is *properly intersecting*. The following condition is to be satisfied.

- If  $\{\alpha_i \mid i \in A\}$  is properly intersecting, for any subset  $B$  of  $A$ ,  $\{\alpha_i \mid i \in B\}$  is properly intersecting.
- Let  $A$  and  $A'$  be subsets of  $\{1, \dots, r\}$  such that  $\text{tm}(A) < \text{in}(A')$ . If  $\{\alpha_i \mid i \in A\}$  and  $\{\alpha_i \mid i \in A'\}$  are both properly intersecting sets, the union  $\{\alpha_i \mid i \in A \cup A'\}$  is also properly intersecting.
- If  $\{\alpha_1, \dots, \alpha_r\}$  is properly intersecting, then for any  $i$ , writing  $\partial\alpha_i = \sum c_{i\nu} \beta_\nu$  with  $\beta_\nu \in \mathfrak{S}_F(I_i)$ , each set

$$\{\alpha_1, \dots, \alpha_{i-1}, \beta_\nu, \alpha_{i+1}, \dots, \alpha_r\}$$

is properly intersecting.

- The condition of proper intersection is compatible with direct sum in each variable. To be precise, under the same assumption as in (8), for a set of elements  $\alpha_i \in \mathfrak{S}_F(I_i; X')$  for  $i = 1, \dots, r$ , the set  $\{\alpha_i \in \mathfrak{S}_F(I_i; X')\}_i$  is properly intersecting if and only if the set  $\{\alpha_i \in \mathfrak{S}_F(I_i; X)\}_i$  is properly intersecting.

*Remark.* For  $I_i$  almost disjoint and elements  $\alpha_i \in F(I_i)$ , one defines  $\{\alpha_i \in F(I_i) \mid i \in A\}$  to be properly intersecting if the following holds. Write  $\alpha_i = \sum c_{i\nu} \alpha_{i\nu}$  with  $\alpha_{i\nu} \in \mathfrak{S}_F(I_i)$ , then for any choice of  $\nu_i$  for  $i \in A$ , the set  $\{\alpha_{i\nu_i} \mid i \in A\}$  is properly intersecting.



Further, if  $S_i \subset \overset{\circ}{I}_i$ , one can define the condition of proper intersection for  $\{\alpha_i \in F(I_i|S_i)|i \in A\}$  by writing each  $\alpha_i$  as a sum of tensors of elements in the generating set.

(10) (description of  $F(I|S)$ ) When  $I_1, \dots, I_r$  is a segmentation of  $I$ , namely when  $\text{in}(I_1) = \text{in}(I)$ ,  $\text{tm}(I_i) = \text{in}(I_{i+1})$  and  $\text{tm}(I_r) = \text{tm}(I)$ , the subcomplex of  $F(I_1) \otimes \dots \otimes F(I_r)$  generated by  $\alpha_1 \otimes \dots \otimes \alpha_r$  with  $\{\alpha_i\}$  properly intersecting is denoted by  $F(I_1) \hat{\otimes} \dots \hat{\otimes} F(I_r)$ . If  $S \subset \overset{\circ}{I}$  is the subset corresponding to the segmentation, this subcomplex coincides with  $F(I|S)$ .

(11)(distinguished subcomplexes) Let  $I$  be a finite ordered set,  $L_1, \dots, L_r$  be almost disjoint sub-intervals such that  $\cup L_i = I$ ; equivalently,  $\text{in}(L_1) = \text{in}(I)$ ,  $\text{tm}(L_i) = \text{in}(L_{i+1})$  or  $\text{tm}(L_i) + 1 = \text{in}(L_{i+1})$ , and  $\text{tm}(L_r) = \text{tm}(I)$ . Assume given a sequence of objects  $X_i$  on  $I$ . Let  $Dist$  be the smallest class of subcomplexes of  $F(L_1) \otimes \dots \otimes F(L_r)$  satisfying the conditions below. It is then required that each subcomplex  $Dist$  is a quasi-isomorphic subcomplex.

(11-1) A subcomplex obtained as follows is in  $Dist$ . Let  $I_1, \dots, I_c$  be a set of almost disjoint sub-intervals of  $I$  with union  $I$ , that is coarser than  $L_1, \dots, L_r$ ; let  $S_i \subset \overset{\circ}{I}_i$  such that the segmentations of  $I_i$  by  $S_i$ , when combined for all  $i$ , give precisely the  $L_i$ 's. Let  $I \hookrightarrow \mathbb{I}$  be an inclusion into a finite ordered set  $\mathbb{I}$  such that the image of each  $I_a$  is a sub-interval. Assume given an extension of  $X$  to  $\mathbb{I}$ . Let  $J_1, \dots, J_s \subset \mathbb{I}$  be sub-intervals of  $\mathbb{I}$  such that the set  $\{I_i, J_j\}_{i,j}$  is almost disjoint, and  $f_j \in F(J_j|T_j)$ ,  $j = 1, \dots, s$  be a properly intersecting set. Then one defines the subcomplex

$$[F(I_1|S_1) \otimes \dots \otimes F(I_c|S_c)]_{\mathbb{I}, f},$$

as the one generated by  $\alpha_1 \otimes \dots \otimes \alpha_c$ ,  $\alpha_i \in F(I_i|S_i)$ , such that the set  $\{\alpha_1, \dots, \alpha_c, f_j (j = 1, \dots, s)\}$  is properly intersecting. We require it is in  $Dist$ .

The data consisting of  $I \hookrightarrow \mathbb{I}$ ,  $X$  on  $\mathbb{I}$ ,  $J_i \subset \mathbb{I}$ , and  $f_j \in F(J_j|T_j)$  is called a *constraint*, and the corresponding subcomplex the distinguished subcomplex for the constraint.

(11-2) Tensor product of subcomplexes in  $Dist$  is again in  $Dist$ . For this to make sense, note complexes of the form  $F(L_1) \otimes \dots \otimes F(I_r)$  are closed under tensor products: If  $I'$  is another finite ordered set and  $L'_1, \dots, L'_s$  are almost disjoint sub-intervals with union  $I'$ , then the tensor product

$$F(L_1) \otimes \dots \otimes F(I_r) \otimes F(L'_1) \otimes \dots \otimes F(I'_s)$$

is associated with the ordered set  $I \amalg I'$  and almost disjoint sub-intervals  $(L_1, \dots, L_r, L'_1, \dots, L'_s)$ .

(11-3) A finite intersection of subcomplexes in  $Dist$  is again in  $Dist$ .

(1.2) **Definition.** To a quasi DG category  $\mathcal{C}$  one can associate an additive category, called its *homotopy category*, denoted by  $Ho(\mathcal{C})$ . Objects of  $Ho(\mathcal{C})$  are the same as the objects of  $\mathcal{C}$ , and  $\text{Hom}(X, Y) := H^0 F(X, Y)$ . Composition of arrows is induced from  $\psi_Y$  as in (5) above. The object  $O$  is the zero object, and the direct sum  $X \oplus Y$  is the direct sum in the categorical sense.  $1_X$  gives the identity  $X \rightarrow X$ .

(1.3) **Definition.** Let  $\mathcal{C}$  be a quasi DG category. A  $C$ -diagram in  $\mathcal{C}^\Delta$  is an object of the form  $K = (K^m; f(m_1, \dots, m_\mu))$ , where  $(K^m)$  is a sequence of objects of  $\mathcal{C}$  indexed by  $m \in \mathbb{Z}$ , almost all of which are zero, and

$$f(m_1, \dots, m_\mu) \in F(K^{m_1}, \dots, K^{m_\mu})^{-(m_\mu - m_1 - \mu + 1)}$$

is a collection of elements indexed by sequences  $(m_1 < m_2 < \dots < m_\mu)$  with  $\mu \geq 2$ . We require the following conditions:

(i) For each  $j = 2, \dots, \mu - 1$

$$\tau_{K^{m_j}}(f(m_1, \dots, m_\mu)) = f(m_1, \dots, m_j) \otimes f(m_j, \dots, m_\mu)$$

in  $F(K^{m_1}, \dots, K^{m_j}) \otimes F(K^{m_j}, \dots, K^{m_\mu})$ .

(ii) For each  $(m_1, \dots, m_\mu)$ , one has

$$\begin{aligned} & \partial f(m_1, \dots, m_\mu) \\ + & \sum_t \sum_k (-1)^{m_\mu + \mu + k + t} \varphi_{K^{m_k}}(f(m_1, \dots, m_t, k, m_{t+1}, \dots, m_\mu)) = 0 \end{aligned}$$

(the sum is over  $t$  with  $1 \leq t < \mu$ , and  $k$  with  $m_t < k < m_{t+1}$ ).

For an object  $X$  in  $\mathcal{C}$  and  $n \in \mathbb{Z}$ , there is a  $C$ -diagram  $K$  with  $K^n = X$ ,  $K^m = 0$  if  $m \neq n$ , and  $f(M) = 0$  for all  $M = (m_1, \dots, m_\mu)$ . We write  $X[-n]$  for this.

(1.4) **Theorem.** Let  $\mathcal{C}$  be a quasi DG category satisfying the extra conditions (iv), (v) of Definition (1.1). There is a quasi DG category  $\mathcal{C}^\Delta$  satisfying the following properties:

(i) The objects are the  $C$ -diagrams in  $\mathcal{C}$ .

(ii) For a sequence of  $C$ -diagrams  $K_1, \dots, K_n$  with  $n \geq 2$ , as part of the structure of a quasi DG category, one has the corresponding complex of abelian groups  $\mathbb{F}(K_1, \dots, K_n)$ , and the maps  $\iota$ ,  $\sigma$ , and  $\varphi$ . This complex has the following description if  $n = 2$  and the diagrams  $K_1, K_2$  are “objects of  $\mathcal{C}$  with shifts”: For a pair of objects  $X, Y$  in  $\mathcal{C}$ , and  $m, n \in \mathbb{Z}$ , and the corresponding  $C$ -diagrams  $X[m], Y[n]$ , one has a canonical isomorphism of complexes

$$\mathbb{F}(X[m], Y[n]) = F(X, Y)[n - m] .$$

In particular, in the homotopy category  $Ho(\mathcal{C}^\Delta)$  of  $\mathcal{C}^\Delta$ , one has

$$\mathrm{Hom}_{Ho(\mathcal{C}^\Delta)}(X[m], Y[n]) = H^{n-m}F(X, Y) .$$

Further, the map

$$\psi_Y : H^m F(X, Y) \otimes H^n F(Y, Z) \rightarrow H^{m+n} F(X, Z)$$

for  $m, n \in \mathbb{Z}$ , defined using the maps  $\sigma$ ,  $\varphi$  and  $F(X, Y, Z)$  (see the remark just before (v) in (1.1)) coincides with the map

$$\begin{aligned} \psi_Y : H^0 \mathbb{F}(X, Y[m]) \otimes H^0 \mathbb{F}(Y[m], Z[m+n]) \\ \rightarrow H^0 \mathbb{F}(X, Z[m+n]) \end{aligned}$$

defined similarly using the maps  $\sigma$ ,  $\varphi$  and  $\mathbb{F}(X, Y[m], Z[m+n])$ , via the isomorphisms  $H^m F(X, Y) = H^0 \mathbb{F}(X, Y[m])$ , etc.

(iii) The homotopy category  $Ho(\mathcal{C}^\Delta)$  of  $\mathcal{C}^\Delta$  has the structure of a triangulated category.

For the proof, we must define the complexes  $\mathbb{F}(K_1, \dots, K_n)$  for a sequence of  $C$ -diagrams, together with maps  $\sigma$  and  $\varphi$ , satisfying the condition (ii) of the theorem, and the axioms (i)-(iii) of a quasi DG category. We then proceed to show that the homotopy category of  $\mathcal{C}^\Delta$  is triangulated. If  $\mathcal{C}$  is a DG category, there is a procedure to construct a triangulated category, as in [6] and [10]. The present construction may be viewed as its generalization.

## §2. The quasi DG category of smooth varieties over a base.

We consider quasi-projective varieties over a field  $k$ . We refer the reader to [1], [2], [3] for the definition of the cycle complexes and the higher Chow groups of quasi-projective varieties. We will use the integral cubical version,

as in [3]. Thus to a quasi-projective variety  $X$  over  $k$  and  $s \in \mathbb{Z}$ , there corresponds the cycle complex  $\mathcal{Z}_s(X, \cdot)$ ; the group  $\mathcal{Z}_s(X, n)$  is a quotient of the free abelian group of algebraic cycles on  $X \times \square^n$  of dimension  $s + n$ , meeting faces properly. (See [3] for the precise definition, where the indexing is by codimension.) The variety  $X$  need not be assumed equi-dimensional when we use the indexing by “dimension” instead of codimension. The higher Chow groups are the homology groups of this complex:  $\mathrm{CH}_s(X, n) = H_n \mathcal{Z}_s(X, \cdot)$ .

Let  $S$  be a quasi-projective variety. Let  $(\mathrm{Smooth}/k, \mathrm{Proj}/S)$  be the category of smooth varieties  $X$  equipped with projective maps to  $S$ . A *symbol* over  $S$  is an object the form

$$\bigoplus_{\alpha \in A} (X_\alpha/S, r_\alpha)$$

where  $X_\alpha$  is a collection of objects in  $(\mathrm{Smooth}/k, \mathrm{Proj}/S)$  indexed by a finite set  $A$ , and  $r_\alpha \in \mathbb{Z}$ .

**(2.1) Theorem.** *There is a quasi DG category satisfying the conditions (iv), (v), denoted  $\mathrm{Symb}(S)$ , with the following properties:*

(i) *The objects are the symbols over  $S$ .*

(ii) *For a sequence of symbols  $K_1, \dots, K_n$  with  $n \geq 2$ , as part of the structure of a quasi DG category, one has the corresponding complex of abelian groups  $F(K_1, \dots, K_n)$ , and the maps  $\iota$ ,  $\sigma$ , and  $\varphi$ . When the symbols are of the form  $K_i = (X_i/S, r_i)$ , the corresponding complex  $F(K_1, \dots, K_n)$  is quasi-isomorphic to*

$$\mathcal{Z}_{d_1}(X_1 \times_S X_2) \otimes \cdots \otimes \mathcal{Z}_{d_{n-1}}(X_{n-1} \times_S X_n),$$

with  $d_i = \dim X_{i+1} - r_{i+1} + r_i$ , the tensor product of the cycle complexes of the fiber products  $X_i \times_S X_{i+1}$ .

We consider  $\mathrm{Symb}(S)^\Delta$ , the quasi DG category of  $C$ -diagrams in  $\mathrm{Symb}(S)$ , and then take its homotopy category. The resulting category is denoted  $\mathcal{D}(S)$ , and called the *triangulated category of mixed motives over  $S$* . The next theorem follows from (1.3) and (2.1).

**(2.2) Theorem.** *For  $X$  in  $(\mathrm{Smooth}/k, \mathrm{Proj}/S)$  and  $r \in \mathbb{Z}$ , there corresponds an object  $h(X/S)(r) := (X/S, r)[-2r]$  in  $\mathcal{D}(S)$ . For two such objects we have*

$$\begin{aligned} & \mathrm{Hom}_{\mathcal{D}(S)}(h(X/S)(r)[2r], h(Y/S)(s)[2s - n]) \\ &= \mathrm{CH}_{\dim Y - s + r}(X \times_S Y, n) \end{aligned}$$

the right hand side being the higher Chow group of the fiber product  $X \times_S Y$ .  
There is a functor

$$h : (\text{Smooth}/k, \text{Proj}/S)^{opp} \rightarrow \mathcal{D}(S)$$

that sends  $X$  to  $h(X/S)$ , and a map  $f : X \rightarrow Y$  to the class of its graph  $[\Gamma_f] \in \text{CH}_{\dim X}(Y \times_S X)$ .

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